Ticket #: 383150 Status: customer-reply From: J Cassina <cassina@bellnet.ca> Assigned to: Santhi Tagore</cassina@bellnet.ca>	Priority: medium	Created: Oct 23, 2025, 08:44 PM
[Ticket 383150] - Highland - B	E Splits (1x5)	- James Cassina

HI – please see the attached and the note below. As indicated I have 500,000 shares and 375,000 warrants in Highland Critical Minerals Corp. (ID:396) as evidenced in the attached DRS Statement Certificate ID: 100-107 and Certificate #BE-1003

The attached is a TD Bank Shipment of Securities Form by which I am trying to deposit 100,000 shares and 100,000 warrants of Highland Critical Minerals into my TD Online Trading account

Deposit to Account No.	47XY42A
------------------------	---------

Client Name: JAMES CASSINA

D Direct Investing

Unfortunately after 8 days now apparently there has been no response from Integral to TDès request.

Kindly advise as to the status of this matter

Thanking you

JC/ 416 258 4386

From: J Cassina <cassina@bellnet.ca>

Sent: October 21, 2025 4:00 PM

To: Brian Morales bjmorales.com

Cc: 'J C Cassina' <cassina@bellnet.ca>

Subject: integral follow up

Brian:

Please see attached Shipment of Securities Form with TD Bank whereby I am trying to convert 100,000 shares and 100,000 wts from my original DRS Statement (on 2nd page of the attached) into shares and a DRS Statement of 100,000 wts to my TD Bank trading account and then receive a new DRS

statement for the remaining balance which should be 400,000 shares and 275,000 wts.

Please contact your friend at Integral and inquire about the status of this matter as TD Bank has no idea why the shares have not been transferred in almost a week now?

Also Integral has to make a minor correction

Please and than you for your help

jc

Attachments:

- ☐ image001.png (17.03 KB)
- \square 25 Oct 15 TD Shipment of Securities Form & HLND DRS Statement.pdf (1048.87 KB)



To: cassina@bellnet.ca

CC: cassina@bellnet.ca, cassina@coremarkets.ca, bmorales@bjmorales.com>

Hi James,

Please let us know if you want to transfer the shares to deposit into CDS via TD brokerage account?

If yes we haven't received any deposit on CDS system yet.

Moreover you can only deposit the shares in CDS, the warrants can't be deposit to CDS. Sincerely,

Santhi Tagore

Operation & Accounting Coordinator

Integral Transfer Agency Inc.

600 Annette Street, Lower Llevel

Toronto, ON M6S 2C4, Canada

Tel: +1-416-623-8028 x 207 / Fax +1-647-794-3332

accounting@integraltransfer.com

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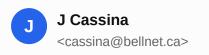
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CC: cassina@bellnet.ca

Oct 28, 2025, 03:47 PM

Yes – I am trying to effect a partial deposit of 100,000 shares and 100,000 warrants into my TD Bank – Online Webbroker account – see attached – perhaps we just need to split the DTS Statement into 2 parts to accommodate the partial transaction – alternatively, if its easier then just transfer the entire position in my DRS Statement to my account at TD Bank as its mine anyway

LMK

Thanks for your help

jc

From: support@excelsiorsolutions.biz <support@excelsiorsolutions.biz>

Sent: October 27, 2025 10:39 AM

To: cassina@bellnet.ca

Cc: cassina@bellnet.ca; cassina@coremarkets.ca; bmorales@bjmorales.com

Subject: RE: [Ticket 383150] - FW: Integral follow up

Hi James,

Please let us know if you want to transfer the shares to deposit into CDS via TD brokerage account?

If yes we haven't received any deposit on CDS system yet.

Moreover you can only deposit the shares in CDS, the warrants can't be deposit to CDS. Sincerely,

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Attachments:

 $\hfill \square$ 25 Oct 15 TD Shipment of Securities Form & HLND DRS Statement.pdf (1048.87 KB)



To: cassina@bellnet.ca

CC: cassina@bellnet.ca, cassina@coremarkets.ca

Hi Cassina,

Yes you can deposit a partial share into CDS. We will split the share as per deposit share amount and leave the remaining in your name itself and we will also charge the split fee while we confirm the CDS deposit itself.

But we can't confirm the warrant as it is not CDS deposit eligible.

Sincerey,

Santhi Tagore

Operation & Accounting Coordinator

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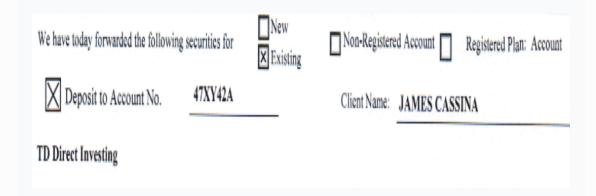
Oct 30, 2025, 08:07 PM

CC: cassina@coremarkets.ca, cassina@bellnet.ca

YES – I am trying every day to have the shares deposited into my TD Bank – Webbroker account.

I was instructed by TD Bank to personally attend at a TD Bank branch and complete a "Shipment of Securities Form" and sign it in their presence to obtain their bank witness signature guarantee – which I did – see attached.

A week later I received an email from the bank indicating I needed to obtain paper certificates and bring the paper certs into the bank and re-do a form which completely defeats the purpose of DRS. As indicated in the attached my TD Bank webbroker account number is evidenced –



can you simply transfer the shares directly or do you need TD to pull them in? If so, I only requested 100,000 shares and 100,000 warrants to be transferred in but at this point, given the 2 week delay, if you are able to deposit the whole position then please do – Kindly LMK

Below is my last correspondence from TD Bank

Thanks for your help

jc

From: Braendle, Valerie (she/her/hers) < Valerie.Braendle@td.com>

Sent: October 24, 2025 12:29 PM
To: J Cassina <cassina@bellnet.ca>

Cc: James Cassina <cassina@coremarkets.ca>

Subject: RE: Shipment of Securities - Status

Good Afternoon,

In order for us to proceed, this was my guidance. We need the certificate and set of warrants for these shares. Please have the client provide the certificate and warrants, then submit a new request

Please let me know when you have these, and we can make an appointment.

Valerie Braendle

Personal Banker I **TD Canada Trust** I Transit #3740

20865 Dalton Road, Sutton, Ontario, L0E 1R0

T: 905-722-3234 X 222 I F: 905-722-3717

 ${f P}$ Please consider the environment before printing this email

Pronouns: She/Her/Hers

Internal

From: J Cassina < cassina@bellnet.ca>

Sent: Thursday, October 23, 2025 3:10 PM

To: Braendle, Valerie (she/her/hers) < <u>Valerie.Braendle@td.com</u>>

Cc: 'J C Cassina' < cassina@bellnet.ca >; James Cassina

<assina@coremarkets.ca>

Subject: Shipment of Securities - Status

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ATTENTION : COURRIEL EXTERNE. NE CLIQUEZ PAS SUR DES LIENS ET N'OUVREZ PAS DE PIÈCES JOINTES AUXQUELS VOUS NE FAITES PAS CONFIANCE

Valerie:

I appreciate your help completing the Shipment of Securities Form – as attached – to deposit 100,000 common shares and 100,000 warrants (the "Securities") into my trading account at TD. Unfortunately although it has been 8 days since processing the form the Securities have not been transferred and I inquired at Integral Transfer Agency which administers the DRS and security transfers just today and they have not had any request from TD Bank to effect such a transfer – if, as and when it the request is processed it happens almost instantly – thus the concern over these 8 days.

As this matter is important to me and frankly TD Bank is a confusing maze of bureaucracy please follow up on your end so that we may resolve this matter forthwith.

Kindly advise

Thanking you

jc

Internal

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From: support@excelsiorsolutions.biz <support@excelsiorsolutions.biz>

Sent: October 27, 2025 10:39 AM

To: cassina@bellnet.ca

Cc: cassina@bellnet.ca; cassina@coremarkets.ca; bmorales@bjmorales.com

Subject: RE: [Ticket 383150] - FW: Integral follow up

Hi James,

Please let us know if you want to transfer the shares to deposit into CDS via TD brokerage account?

If yes we haven't received any deposit on CDS system yet.

Moreover you can only deposit the shares in CDS, the warrants can't be deposit to CDS. Sincerely,

Santhi Tagore

Operation & Accounting Coordinator

Integral Transfer Agency Inc.

600 Annette Street, Lower Llevel Toronto, ON M6S 2C4, Canada

Tel: +1-416-623-8028 x 207 / Fax +1-647-794-3332

accounting@integraltransfer.com

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Attachments:

- ☐ image001.png (102.64 KB)
- ☐ 25 Oct 15 TD Shipment of Securities Form & HLND DRS Statement.pdf (1048.87 KB)



To: cassina@bellnet.ca

CC: cassina@bellnet.ca, cassina@coremarkets.ca

Hi James.

Please note that we aren't brokers to process this request. Please ask your TD broker to proces this

Sorry, we are unable to help you for this request.

Sincerely,

Santhi Tagore

Operation & Accounting Coordinator

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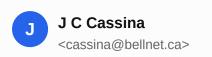
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Nov 3, 2025, 07:51 PM

CC: cassina@coremarkets.ca, cassina@bellnet.ca

OK – but can you breakdown my attached DRS Statement into 5 separate DRS Certificate numbers of 100,000 shares each as I only wish to deposit 100,000 common shares with TD Bank and they seem confused because my DRS Statement identifies Cert# BE-1003 as being in the amount of 500,000 Common A shares.

Also – can you provide a separate DRS Statement evidencing the 375,000 warrants as TD Bank seems confused about these warrants?

In this manner it may be easier to effect the deposit of my initial request for just 100,000 common A shares to be deposited.

Please advise asap

Thanks

jС

From: support@excelsiorsolutions.biz <support@excelsiorsolutions.biz>

Sent: November 3, 2025 1:43 PM

To: cassina@bellnet.ca

Cc: cassina@bellnet.ca; cassina@coremarkets.ca
Subject: RE: [Ticket 383150] - FW: Integral follow up

Hi James,

Please note that we aren't brokers to process this request. Please ask your TD broker to proces this.

Sorry, we are unable to help you for this request.

Sincerely,

Santhi Tagore

Operation & Accounting Coordinator

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Attachments:

☐ ShareholderStatement - James C. Cassina.pdf (63.80 KB)



To: cassina@bellnet.ca

CC: cassina@bellnet.ca, cassina@coremarkets.ca, jim.adams@excelsiorsolutions.biz

Hi James,

Please find in the attachment the invoice for this split (1x5) and separate account for warrants. The credit card authorization form is attached to make a payment. Or you can e-transfer the payment to "accounting@integraltransfer.com".

Sincerely,

Santhi Tagore

Operation & Accounting Coordinator

Integral Transfer Agency Inc.

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Toronto, ON M6S 2C4, Canada

Tel: +1-416-623-8028 x 207 / Fax +1-647-794-3332

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Attachments:

- ☐ Highland Invoice# 4077 James C. Cassina BE split Nov 4.pdf (115.50 KB)
- □ Integral 2024 New & Existing Client Credit Card Pymt Auth. Form CAD Fillable PDF.pdf (106.38 KB)



Nov 4, 2025, 04:58 PM

 $\textbf{CC:} \ cassina@coremarkets.ca, jim.adams@excelsiorsolutions.biz, cassina@bellnet.ca$

OK E-transferred funds from my TD Bank Account and have received confirmation that it was successfully deposited – see below

I am struggling with TD Bank Webbroker and an effort to deposit 100,000 shares into my trading account as they were previously confused by the reference to warrants which is why I am obtaining these multiple DRS Shareholder Statements –

WHEN can I anticipate receiving the new DRS statements – just email them please – DO NOT MAIL by postal services – is later today possible, PLEASE

LMK

Thanks

jС

Hi JAMES CASSINA,
Your transfer to Integral
Transfer Agency Inc. was
successfully deposited.

The \$211.88 (CAD) you sent to Integral Transfer Agency Inc. has been successfully deposited.

Tra	n	fo	₋ D	oto	. i i	_
ıra	ns	:TP	r D	PTZ	111	S

Date:

Reference Number:

Nov 4, 2025

C1Ae3fCrhTYA

Message:

Invoice# 4077 Cassina re DRS on Highland Critical Minerals

From: support@excelsiorsolutions.biz <support@excelsiorsolutions.biz>

Sent: November 4, 2025 7:50 AM

To: cassina@bellnet.ca

Cc: cassina@bellnet.ca; cassina@coremarkets.ca;

jim.adams@excelsiorsolutions.biz

Subject: RE: [Ticket 383150] - FW: Integral follow up

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Sincerely,

Santhi Tagore

Operation & Accounting Coordinator

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