

Ticket #: 19557 Status: customer-reply Priority: **medium** Created: Sep 16, 2025, 03:48 PM
From: Brian Morales <bjmorales@bjmorales.com>
Assigned to: Jim Adams

[Ticket 19557] - Tiger International Onboarding

thanks for the chat this morning.

cc'd on this email is my contacts at Integral Transfer Agency who we intend to move the file over to. could you please arrange to have the files "transfer ready" so we can have a smooth/efficient transition.

Brian

**Jim Adams**

<support@excelsiorsolutions.biz>

Sep 16, 2025, 05:11 PM

To: bmorales@bjmorales.com

Hi Brian

Here's the corporate info form and the letter we need to transfer the files from Trans Canada,
thanks!

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

Attachments:

- [Integral - 2024 - Transfer to ITA as TA - letter for client to send.doc \(34.50 KB\)](#)
- [Integral - 2020 - ITA Agmt. - Attachment B - Company Info - FILLABLE.pdf \(373.68 KB\)](#)



John Martin

<transcanadatransfer@yahoo.ca>

Sep 16, 2025, 06:14 PM

Hi Jim,

Thank you.

Your email only asks for a shareholders list.

We can send you a shareholder list CSV format similar to your example.

What historical date are you referring to?

Please advise.

Thank you.

John Martin, President
Trans Canada Transfer Inc.

<support@excelsiorsolutions.biz> wrote:

Hi Gerta,

Nice to meet you! I've attached a sample of how we need data to be organized. Also, we would like two separate files. In one file we would like the current shareholder data, and in a second one, the historical data.

If you have any questions or concerns, please reply via return email OR you can call me at 416-769-3186

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

Attachments:

- [Integral - 2024 - Shareholder Sample Data \(Solo\) - Fillable.xlsx \(16.38 KB\)](#)

**Jim Adams**

<support@excelsiorsolutions.biz>

Sep 16, 2025, 06:49 PM

To: bmorales@bjmorales.com**CC:** transcanadatransfer@yahoo.ca

Historical data is needed in case of questions from regulators.

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

**Jim Adams**

<support@excelsiorsolutions.biz>

Sep 16, 2025, 06:53 PM

To: bmorales@bjmorales.com**CC:** transcanadatransfer@yahoo.ca

To elaborate, we should have the historical transaction data. And either us or the client should be getting any paper documentation and/or PDF copies

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward



John Martin

<transcanadatransfer@yahoo.ca>

Sep 16, 2025, 06:57 PM

Hi Jim,

You did not answer my question, "what historical date do you required"?

Please send us a listing.

Thanks,

Regards,

Geeta Pershad

On Tuesday, September 16, 2025 at 12:50:01 p.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Historical data is needed in case of questions from regulators.

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

**Jim Adams**

<support@excelsiorsolutions.biz>

Sep 16, 2025, 07:00 PM

To: bmorales@bjmorales.com**CC:** transcanadatransfer@yahoo.ca

Hi Greta

I'll try again - historical data - all of the transaction history in your system. Also, if there are transaction-related documents in either paper or digital format, we OR the client should have those.

I can be reached at 416-769-3186 if you think it would be easier to talk this through.

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward



John Martin

<transcanadatransfer@yahoo.ca>

Sep 16, 2025, 07:18 PM

Hi Jim,

We are a member of STAC and your request is not what is required by STAC for changing transfer agents?

Please advise.

Thank you.

Regards,

Geeta Pershad

On Tuesday, September 16, 2025 at 01:00:18 p.m. EDT,
<support@excelsiorsolutions.biz> wrote:

Hi Greta

I'll try again - historical data - all of the transaction history in your system. Also, if there are transaction-related documents in either paper or digital format, we OR the client should have those.

I can be reached at 416-769-3186 if you think it would be easier to talk this through.

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

**Jim Adams**

<support@excelsiorsolutions.biz>

Sep 16, 2025, 07:21 PM

To: bmorales@bjmorales.com**CC:** transcanadatransfer@yahoo.ca

Hi Greeta

That's fine. My only concern was that I had heard from a third party that Trans Canada was closing down, and I just wanted to ensure the client's data was saved by someone.

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

**Brian Morales**

<bmorales@bjmorales.com>

Sep 16, 2025, 08:12 PM

hi Geeta,

just a thought on this. if you are winding down operations, then
we will need to have some sort of history, in case the question
gets asked in the future.

i understand it might not be required on the changing of transfer
agent, but as you are closing down how will that history be
preserved?

On 2025-09-16 1:18 p.m., John Martin
wrote:

Hi Jim,

We are a member of STAC and your request is
not what is required by STAC for changing transfer
agents?

Please advise.

Thank you.

Regards,

Geeta Pershad

On Tuesday, September 16, 2025 at 01:00:18 p.m. EDT,
support@excelsiorsolutions.biz wrote:

Hi Greta

I'll try again - historical data - all of the transaction history in your system. Also, if there are transaction-related documents in either paper or digital format, we OR the client should have those.

I can be reached at 416-769-3186 if you think it would be easier to talk this through.

Cheers

Jim Adams

Client Solutions/Founder

Excelsior Solutions Corporation

+1-647-417-8173

Powering Business Forward



John Martin

<transcanadatransfer@yahoo.ca>

Sep 16, 2025, 08:16 PM

Jim:

This should have been clear, we are not releasing our historical data as it is not one of the transfer agent's requirements and is part of our standards.

Best regards

John Martin

On Tuesday, September 16, 2025 at 01:21:43 p.m. EDT,
<support@excelsiorsolutions.biz> wrote:

Hi Greeta

That's fine. My only concern was that I had heard from a third party that Trans Canada was closing down, and I just wanted to ensure the client's data was saved by someone.

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

J

Jim Adams
<support@excelsiorsolutions.biz>

Sep 16, 2025, 08:19 PM

To: bmorales@bjmorales.com
CC: transcanadatransfer@yahoo.ca

Hi John

I fully understand.

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

J

John Martin

<transcanadatransfer@yahoo.ca>

Sep 16, 2025, 08:23 PM

Jim

We follow STAC rules end of story.

Regards,

John Martin

On Tuesday, September 16, 2025 at 02:11:59 p.m. EDT, Brian Morales <bjmorales@bjmorales.com> wrote:

hi Geeta,

just a thought on this. if you are winding down operations, then we will need to have some sort of history, in case the question gets asked in the future.

i understand it might not be required on the changing of transfer agent, but as you are closing down how will that history be preserved?

On 2025-09-16 1:18 p.m., John Martin wrote:

Hi Jim,

We are a member of STAC and your request is not what is required by STAC for changing transfer agents?

Please advise.

Thank you.

Regards,

Geeta Pershad

On Tuesday, September 16, 2025 at 01:00:18 p.m. EDT,
support@excelsiorsolutions.biz wrote:

Hi Greta

I'll try again - historical data - all of the transaction history in your system. Also, if there are transaction-related documents in either paper or digital format, we OR the client should have those.

I can be reached at 416-769-3186 if you think it
would be easier to talk this through.

Cheers

Jim Adams

Client Solutions/Founder

Excelsior Solutions Corporation

+1-647-417-8173

Powering Business Forward

**Brian Morales**

<bjmorales@bjmorales.com>

Sep 16, 2025, 08:41 PM

then I'm asking as a director on Tiger International, if i receive a question regarding the historical transactions how would i verify, if you will not provide the info

Brian Morales

416-648-8995

On 2025-09-16 2:23 p.m., John Martin
wrote:

Jim

We follow STAC rules end of
story.

Regards,

John Martin

On Tuesday, September 16, 2025 at 02:11:59 p.m. EDT,
Brian Morales <bjmorales@bjmorales.com> wrote:

hi Geeta,

just a thought on this. if you are winding down operations, then we will need to have some sort of history, in case the question gets asked in the future.

i understand it might not be required on the changing of transfer agent, but as you are closing down how will that history be preserved?

On

2025-09-16 1:18 p.m., John Martin wrote:

Hi Jim,

We are a member of STAC and
your request is not what is required by STAC
for changing transfer agents?

Please advise.

Thank you.

Regards,

Geeta Pershad

On Tuesday, September 16, 2025 at 01:00:18
p.m. EDT, support@excelsiorsolutions.biz
wrote:

Hi Greta

I'll try again - historical data - all
of the transaction history in your
system. Also, if there are
transaction-related documents in either
paper or digital format, we OR the
client should have those.

I can be reached at 416-769-3186 if you
think it would be easier to talk this
through.

Cheers

Jim Adams

Client Solutions/Founder

Excelsior Solutions Corporation

+1-647-417-8173

Powering Business Forward

**Jim Adams**

<support@excelsiorsolutions.biz>

Sep 17, 2025, 05:37 PM

To: bmorales@bjmorales.com**CC:** transcanadatransfer@yahoo.ca

Hi John

Here's the letter as requested.

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

Attachments:

[Tiger - 2025 - TA Item List - Signed - Sept. 17.pdf \(140.40 KB\)](#)



John Martin
<transcanadatransfer@yahoo.ca>

Sep 17, 2025, 09:49 PM

Good afternoon Jim,

We have received the request and is curerently working on it.

We have the shareholder list in an electronic Excel file and hardcopy

The certificate master files are only in hard-copy.

Do you want us to courier these to you or would you prefer getting them via email?

Please advise.

Thank you.

Regards,

Geeta Pershad
Manager of Operations
Trans Canada Transfer Inc.

On Wednesday, September 17, 2025 at 11:37:06 a.m. EDT,
support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi John

Here's the letter as requested.

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward



Jim Adams

<support@excelsiorsolutions.biz>

Sep 18, 2025, 03:31 PM

To: bmorales@bjmorales.com

CC: transcanadatransfer@yahoo.ca

Hi Greeta

Will we be getting the transactional history in digital form? I don't know what software system you use. Most will allow you to download the transactional history.

Please courier the master files to:

Integral Transfer Agency
600 Annette St
Toronto, ON M6S 2C4

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

**Jim Adams**

<support@excelsiorsolutions.biz>

Sep 25, 2025, 07:44 PM

To: bmorales@bjmorales.com**CC:** transcanadatransfer@yahoo.ca

Hi Greeta -

CDS seems to think that we are now the transfer agent. However we can't say yes until we have the information from you. Can you please advise us when you will be sending it?

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward



John Martin

<transcanadatransfer@yahoo.ca>

Sep 25, 2025, 07:53 PM

Hi Jim,

We are awaiting the finals from Brian and then send everything over to you.

However, Tiger International has not been trading for several years now. We have notified CDS that Tiger is moving from us but they have been dormant. I've told CDS that Tiger is in the process of being reinstated and have not given them any particulars, because we have none.

Thanks,

Regards,

Geeta Pershad

On Thursday, September 25, 2025 at 01:44:34 p.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi Greeta -

CDS seems to think that we are now the transfer agent. However we can't say yes until we have the information from you. Can you please advise us when you will be sending it?

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

**Brian Morales**

<bmorales@bjmorales.com>

Sep 25, 2025, 10:37 PM

CC: support@excelsiorsolutions.biz

I'm not sure what finals you are referring to. I was advised that payment will need to be sent prior to releasing the files to Integral.

In any event, Funds for the payment you required to release the documents to integral have been sent.

Please release to integral what they required

On Sep 25, 2025, at 1:53 PM, John Martin <transcanadatransfer@yahoo.ca> wrote:

Hi Jim,

We are awaiting the finals from Brian and then send everything over to you.

However, Tiger International has not been trading for several years now. We have notified CDS that Tiger is moving from us but they have been dormant. I've told CDS that Tiger is in the process of being reinstated and have not given them any particulars, because we have none.

Thanks,

Regards,

Geeta Pershad

<support@excelsiorsolutions.biz> wrote:

Hi Greeta -

CDS seems to think that we are now the transfer agent. However we can't say yes until we have the information from you. Can you please advise us when you will be sending it?

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

**Brian Morales**

<bmorales@bjmorales.com>

Sep 25, 2025, 10:37 PM

CC: support@excelsiorsolutions.biz

Im not sure what finals you are referring to. I was advised that payment will need to be sent prior to releasing the files to Integral.

In any event, Funds for the payment you required to release the documents to integral have been sent.

Please release to integral what they required

On Sep 25, 2025, at 1:53 PM, John Martin <transcanadatransfer@yahoo.ca> wrote:

Hi Jim,

We are awaiting the finals from Brian and then send everything over to you.

However, Tiger International has not been trading for several years now. We have notified CDS that Tiger is moving from us but they have been dormant. I've told CDS that Tiger is in the process of being reinstated and have not given them any particulars, because we have none.

Thanks,

Regards,

Geeta Pershad

<support@excelsiorsolutions.biz> wrote:

Hi Greeta -

CDS seems to think that we are now the transfer agent. However we can't say yes until we have the information from you. Can you please advise us when you will be sending it?

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

**Brian Morales**

<bmorales@bjmorales.com>

Sep 25, 2025, 10:38 PM

CC: support@excelsiorsolutions.biz

Im not sure what finals you are referring to. I was advised that payment will need to be sent prior to releasing the files to Integral.

In any event, Funds for the payment you required to release the documents to integral have been sent.

Please release to integral what they required

On Sep 25, 2025, at 1:53 PM, John Martin <transcanadatransfer@yahoo.ca> wrote:

Hi Jim,

We are awaiting the finals from Brian and then send everything over to you.

However, Tiger International has not been trading for several years now. We have notified CDS that Tiger is moving from us but they have been dormant. I've told CDS that Tiger is in the process of being reinstated and have not given them any particulars, because we have none.

Thanks,

Regards,

Geeta Pershad

On Thursday, September 25, 2025 at 01:44:34 p.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi Greeta -

CDS seems to think that we are now the transfer agent. However we can't say yes until we have the information from you. Can you please advise us when you will be sending it?

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

J

John Martin

<transcanadatransfer@yahoo.ca>

Sep 25, 2025, 10:41 PM

Hello Brian,

Yes, thank you.

I was referring to the funds.

Thanks,

Regards,

John Martin

On Thursday, September 25, 2025 at 04:37:15 p.m. EDT, Brian Morales <bmorales@bjmorales.com> wrote:

I'm not sure what finals you are referring to. I was advised that payment will need to be sent prior to releasing the files to Integral.

In any event, Funds for the payment you required to release the documents to integral have been sent.

Please release to integral what they required

On Sep 25, 2025, at 1:53 PM, John Martin <transcanadatransfer@yahoo.ca> wrote:

Hi Jim,

We are awaiting the finals from Brian and then send everything over to you.

However, Tiger International has not been trading for several years now. We have notified CDS that Tiger is moving from us but they have been dormant. I've told CDS that Tiger is in the process of being reinstated and have not given them any particulars, because we have none.

Thanks,

Regards,

Geeta Pershad

On Thursday, September 25, 2025 at 01:44:34 p.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi Greeta -

CDS seems to think that we are now the transfer agent. However we can't say yes until we have the information from you. Can you please advise us when you will be sending it?

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward



Brian Morales

<bmorales@bjmorales.com>

Sep 25, 2025, 10:45 PM

CC: support@excelsiorsolutions.biz

Yes thats what i figured it was a typo as the file has been under a cto so there would be no "finals"

On Sep 25, 2025, at 4:41 PM, John Martin <transcanadatransfer@yahoo.ca> wrote:

Hello Brian,

Yes, thank you.

I was referring to the funds.

Thanks,

Regards,

John Martin

On Thursday, September 25, 2025 at 04:37:15 p.m. EDT, Brian Morales <bmorales@bjmorales.com> wrote:

Im not sure what finals you are referring to. I was advised that payment will need to be sent prior to releasing the files to Integral.

In any event, Funds for the payment you required to release the documents to integral have been sent.

Please release to integral what they required

On Sep 25, 2025, at 1:53 PM, John Martin <transcanadatransfer@yahoo.ca> wrote:

Hi Jim,

We are awaiting the finals from Brian and then send everything over to you.

However, Tiger International has not been trading for several years now. We have notified CDS that Tiger is moving from us but they have been dormant. I've told CDS that Tiger is in the process of being reinstated and have not given them any particulars, because we have none.

Thanks,

Regards,

Geeta Pershad

On Thursday, September 25, 2025 at 01:44:34 p.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi Greeta -

CDS seems to think that we are now the transfer agent. However we can't say yes until we have the information from you. Can you please advise us when you will be sending it?

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward



John Martin

<transcanadatransfer@yahoo.ca>

Sep 29, 2025, 02:35 PM

Good morning Jim,

Please keep a lookout for the FedEx envelope package. It's being delivered in Priority Overnight, so you should have it sometime tomorrow morning.

The FedEx tracking number: 8847-4996-0163

Thank you.

Kind regards,

Geeta Pershad
Manager of Operations
Trans Canada Transfer Inc.

On Thursday, September 18, 2025 at 09:31:50 a.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi Greeta

Will we be getting the transactional history in digital form? I don't know what software system you use. Most will allow you to download the transactional history.

Please courier the master files to:

Integral Transfer Agency

600 Annette St

Toronto, ON M6S 2C4

Cheers

Jim Adams

Client Solutions/Founder

Excelsior Solutions Corporation

+1-647-417-8173

Powering Business Forward

J

Jim Adams

<support@excelsiorsolutions.biz>

Sep 29, 2025, 02:39 PM

To: bmorales@bjmorales.com

CC: jim.adams@excelsiorsolutions.biz

Thanks Geeta - will the digital information be in that package?

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

J

Jim Adams

<support@excelsiorsolutions.biz>

Sep 30, 2025, 05:20 PM

To: bmorales@bjmorales.com

CC: transcanadatransfer@yahoo.ca

Hi Geeta

We received the package from you.

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward



John Martin
<transcanadatransfer@yahoo.ca>

Sep 30, 2025, 05:22 PM

Hi Jim,

Thank you for notifying us.

Kind regards,

Geeta Pershad
Trans Canada Transfer Inc.

On Tuesday, September 30, 2025 at 11:20:20 a.m. EDT,
<support@excelsiorsolutions.biz> wrote:

Hi Geeta

We received the package from you.

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

J

John Martin

<transcanadatransfer@yahoo.ca>

Oct 8, 2025, 03:58 PM

Good morning Jim,

Have you done the set-up of Tiger International and submit the notice of onboarding to CDS? We need to clear Tiger International off from our database.

We have already sent the notification to CDS & DTC concerning Tiger International changing transfer agent status.

Please advise.

Thank you.

Kind regards,

Geeta Pershad
Trans Canada Transfer Inc.

On Tuesday, September 30, 2025 at 11:22:09 a.m. EDT, John Martin <transcanadatransfer@yahoo.ca> wrote:

Hi Jim,

Thank you for notifying us.

Kind regards,

Geeta Pershad
Trans Canada Transfer Inc.

On Tuesday, September 30, 2025 at 11:20:20 a.m. EDT,
<support@excelsiorsolutions.biz> wrote:

Hi Geeta

We received the package from you.

Cheers

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

J

John Martin

<transcanadatransfer@yahoo.ca>

Oct 16, 2025, 05:34 PM

Good morning Jim,

Is Tiger International setup and have you notify the onboarding to CDS?

We need to get Tiger International off from our database by October 30th.

Please advise.

Thank you.

Regards,

John Martin

On Wednesday, October 8, 2025 at 09:58:21 a.m. EDT, John Martin
<transcanadatransfer@yahoo.ca> wrote:

Good morning Jim,

Have you done the set-up of Tiger International and submit the notice of onboarding to CDS? We need to clear Tiger International off from our database.

We have already sent the notification to CDS & DTC concerning Tiger International changing transfer agent status.

Please advise.

Thank you.

Kind regards,

Geeta Pershad
Trans Canada Transfer Inc.

On Tuesday, September 30, 2025 at 11:22:09 a.m. EDT, John Martin
<transcanadatransfer@yahoo.ca> wrote:

Hi Jim,

Thank you for notifying us.

Kind regards,

Geeta Pershad
Trans Canada Transfer Inc.

On Tuesday, September 30, 2025 at 11:20:20 a.m. EDT,
<support@excelsiorsolutions.biz> wrote:

Hi Geeta

We received the package from you.

Cheers

Jim Adams

Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

J

Jeremi Gallant

<support@excelsiorsolutions.biz>

Oct 16, 2025, 07:48 PM

To: jim.adams@excelsiorsolutions.biz

Hi Jim,

Please see my notes below:

- TA Agreement is missing
- Missing KYC's & 2 Pieces of ID for all Directors / Officers
- Reminder that 1 must be Photo ID and the other must contain their current residential address that matches the KYC
- Missing all company documents such as Articles of Incorporation, Bylaws, all Corporate Changes filed with the registry
- Shareholders List
- Excel received isn't very detailed, there are no details on restrictions or breakdown of amount of physical certificates / DRS's held for each shareholder, this just lists the shareholders name, address and total shares held. We would need a detailed shareholders list with a breakdown of each certificate / DRS held by each shareholder and it would need to detail which are physical certs and which are DRS. We would also need the certified list in PDF from the previous TA.
- Need to know what the different numbers/letters mean in the COMPANY column
- Need to know what the COUNTER column is for
- Missing the ISIN & CUSIP document issued by CDS (if applicable)

I looked for these items in their folder in the SF but the only thing I found was the CSE termination letter from Odyssey.

Sincerely,

Jeremi Gallant

Board Member & General Manager



Excelsior Solutions Corporation

600 Annette St., Lower Level, Toronto, Ontario M6S 2C4, Canada

jeremi.gallant@excelsiorsolutions.biz

Propelling Business Forward

Our Subsidiaries:



CONFIDENTIALITY NOTICE

The contents of this e-mail are confidential to the ordinary user of the e-mail address to which it was addressed and is privileged. If you are not the addressee of this e-mail you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. If you have received this e-mail in error please advise the sender by e-mail by replying to this message.

AVIS DE CONFIDENTIALITÉ

Le contenu de ce courriel est confidentiel et privilégié au destinataire régulier de cette adresse électronique. Si vous n'êtes pas le destinataire de ce courriel, il vous est interdit de le copier, faire suivre, divulguer ou autrement l'utiliser en tout ou en partie sous toute forme que se soit. Si vous avez reçu ce courriel par erreur, veuillez en aviser l'expéditeur en répondant au présent message.

**Jim Adams**

<support@excelsiorsolutions.biz>

Oct 16, 2025, 07:51 PM

To: bmorales@bjmorales.com**CC:** transcanadatransfer@yahoo.ca

Hi Brian and John

This is what we still require:

Brian

- TA Agreement is missing
- Missing KYC's & 2 Pieces of ID for all Directors / Officers
- Reminder that 1 must be Photo ID and the other must contain their current residential address that matches the KYC
- Missing all company documents such as Articles of Incorporation, Bylaws, all Corporate Changes filed with the registry
- Missing the ISIN & CUSIP document issued by CDS (if applicable)

John

- Shareholders List
- Excel received isn't very detailed, there are no details on restrictions or breakdown of amount of physical certificates / DRS's held for each shareholder, this just lists the shareholders name, address and total shares held. We would need a detailed shareholders list with a breakdown of each certificate / DRS held by each shareholder and it would need to detail which are physical certs and which are DRS. We would also need the certified list in PDF from the previous TA.
- Need to know what the different numbers/letters mean in the COMPANY column
- Need to know what the COUNTER column is for
-

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

J

John Martin

<transcanadatransfer@yahoo.ca>

Oct 16, 2025, 08:43 PM

Hi Jim,

Please see attached for the letter which was sent to you in the package couple of weeks ago. The letter details it all.

Please see our notes besides your questions in "**RED**">

John:

Shareholders List:

Excel received isn't very detailed, there are no details on restrictions or breakdown of amount of physical certificates / DRS's held for each shareholder, this just lists the shareholders name, address and total shares held. - **This is the shareholder list which we have given to all of the new Transfer Agents. The details you are looking for are in the Certificate Register files, only available in hard copy, which list all the pertinent details of issue date, certificate numbers, drs numbers, restricted (if any).**

We would need a detailed shareholders list with a breakdown of each certificate / DRS held by each shareholder and it would need to detail which are physical certs and which are DRS. - **This is the hard-copies which was sent to you of each company with the certificate numbers, issue date and any restrictions etc.**

We would also need the certified list in PDF from the previous TA. - **You have all of the history of the old company, Spectra Ventures LTD and current Tiger International Resources.**

Need to know what the different numbers/letters mean in the **COMPANY** column - **This is Trans Canada Transfer internal Identification number.**

Need to know what the **COUNTER** column is for: - **The counter is an Identification of good or bad address. This is Trans Canada Transfer internal ID number.**

Thank you.

Regards,

John Martin

Jim Adams

On Thursday, October 16, 2025 at 01:51:07 p.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi Brian and John

This is what we still require:

Brian

- TA Agreement is missing
- Missing KYC's & 2 Pieces of ID for all Directors / Officers

- Reminder that 1 must be Photo ID and the other must contain their current residential address that matches the KYC
- Missing all company documents such as Articles of Incorporation, Bylaws, all Corporate Changes filed with the registry
- Missing the ISIN & CUSIP document issued by CDS (if applicable)

John

- Shareholders List
- Excel received isn't very detailed, there are no details on restrictions or breakdown of amount of physical certificates / DRS's held for each shareholder, this just lists the shareholders name, address and total shares held. We would need a detailed shareholders list with a breakdown of each certificate / DRS held by each shareholder and it would need to detail which are physical certs and which are DRS. We would also need the certified list in PDF from the previous TA.
- Need to know what the different numbers/letters mean in the COMPANY column
- Need to know what the COUNTER column is for
-

Jim Adams

Client Solutions/Founder

Excelsior Solutions Corporation

+1-647-417-8173

Powering Business Forward

Attachments:

[TCTI - final letter to Integral Transfer Agency.pdf \(149.90 KB\)](#)

J

Jim Adams

<support@excelsiorsolutions.biz>

Oct 16, 2025, 08:54 PM

To: bmorales@bjmorales.com

CC: transcanadatransfer@yahoo.ca

Hi John

This is why we asked for these records in the first place. I don't think your association intended that you refrain from sending relevant materials to the new TA, especially when you are closing your business down.

Please send all records pertaining to the issuance or transfer of shares, in digital or hard copy, to us

600 Annette St
Toronto, ON M6S 2C4

Thank you.,

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

J

Jeremi Gallant

<support@excelsiorsolutions.biz>

Oct 16, 2025, 09:53 PM

To: transcanadatransfer@yahoo.ca

CC: jim.adams@excelsiorsolutions.biz, bmmorales@bjmorales.com

Hi John,

I have a few questions in regards to the certified shareholders list and the letter:

- The Stop for Mary Cavanaugh, can you provide more details about this Stop? Why was it put on? What is needed to remove it this was ever clarified? Was there any documentation provided by the issuer for this stop?
- Can you provide more details of the Spectra Venture shares? Why are these shares separated and then being added into the Tiger International shareholders list?
- Can you confirm that the Certificate Master File is only for Physical Certificates? And confirm that the balance between the Certificate Master File and the Shareholders List would be Electronic Shares? If so, do you have the detailed list of Electronic shares only with a breakdown of each electronic issuance like the breakdown currently in the Certificate Master File?

Sincerely,

Jeremi Gallant

Board Member & General Manager



Excelsior Solutions Corporation

600 Annette St., Lower Level, Toronto, Ontario M6S 2C4, Canada

jeremi.gallant@excelsiorsolutions.biz

Propelling Business Forward

Our Subsidiaries:



CONFIDENTIALITY NOTICE

The contents of this e-mail are confidential to the ordinary user of the e-mail address to which it was addressed and is privileged. If you are not the addressee of this e-mail you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. If you have received this e-mail in error please advise the sender by e-mail by replying to this message.

AVIS DE CONFIDENTIALITÉ

Le contenu de ce courriel est confidentiel et privilégié au destinataire régulier de cette adresse électronique. Si vous n'êtes pas le destinataire de ce courriel, il vous est interdit de le copier, faire suivre, divulguer ou autrement l'utiliser en tout ou en partie sous toute forme que se soit. Si vous avez reçu ce courriel par erreur, veuillez en aviser l'expéditeur en répondant au présent message.

J

Jeremi Gallant

<support@excelsiorsolutions.biz>

Oct 16, 2025, 10:43 PM

To: transcanadatransfer@yahoo.ca

CC: jim.adams@excelsiorsolutions.biz, bmmorales@bjmorales.com

Hi John,

Just a quick amendment to my last question regarding the Certificate Master File and an additional question:

- I see all the shares are listed in the Certificate Master File, I had missed that there was a second column to the right. Can you however advise as to how we can differentiate between physical certificates and book entry from this file?
- Can you provide the date of issuance for all of the Spectra Venture Shares that have been added into the Tiger International list? And were these all marked as book entry, or are there any physical certificates?

Sincerely,

Jeremi Gallant

Board Member & General Manager



Excelsior Solutions Corporation

600 Annette St., Lower Level, Toronto, Ontario M6S 2C4, Canada

jeremi.gallant@excelsiorsolutions.biz

Propelling Business Forward

Our Subsidiaries:



CONFIDENTIALITY NOTICE

The contents of this e-mail are confidential to the ordinary user of the e-mail address to which it was addressed and is privileged. If you are not the addressee of this e-mail you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. If you have received this e-mail in error please advise the sender by e-mail by replying to this message.

AVIS DE CONFIDENTIALITÉ

Le contenu de ce courriel est confidentiel et privilégié au destinataire régulier de cette adresse électronique. Si vous n'êtes pas le destinataire de ce courriel, il vous est interdit de le copier, faire suivre, divulguer ou autrement l'utiliser en tout ou en partie sous toute forme que se soit. Si vous avez reçu ce courriel par erreur, veuillez en aviser l'expéditeur en répondant au présent message.

J

John Martin

<transcanadatransfer@yahoo.ca>

Oct 17, 2025, 03:26 PM

Good morning Jim,

Please see attached for a CCR and a Geographical report for Tiger International Resources.
There is an 8 shares rounding difference which was there at the time Trans Canada Transfer took over.

Thanks,

Regards,

John Martin

On Thursday, October 16, 2025 at 02:54:25 p.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi John

This is why we asked for these records in the first place. I don't think your association intended that you refrain from sending relevant materials to the new TA, especially when you are closing your business down.

Please send all records pertaining to the issuance or transfer of shares, in digital or hard copy, to us

600 Annette St
Toronto, ON M6S 2C4

Thank you.,

Jim Adams
Client Solutions/Founder
Excelsior Solutions Corporation
+1-647-417-8173

Powering Business Forward

Attachments:

- [Tiger International CCR.pdf \(414.55 KB\)](#)
- [Tiger International Geographical Summary.pdf \(434.97 KB\)](#)

J

John Martin
<transcanadatransfer@yahoo.ca>

Oct 17, 2025, 03:56 PM

Hi Jim,

Please see attached for the STOP letter for Mary & Richard Cavanaugh JTWROS.

Please see my answer in "**Red**" to your questions below.

On Thursday, October 16, 2025 at 03:53:38 p.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi John,

I have a few questions in regards to the certified shareholders list and the letter:

- The Stop for Mary Cavanaugh, can you provide more details about this Stop? Why was it put on? What is needed to remove it this was ever clarified? Was there any documentation provided by the issuer for this stop? - **Please see attached for letter. That is all we have. This was done before we took over and nothing since has been done.**

- Can you provide more details of the Spectra Venture shares? Why are these shares separated and then being added into the Tiger International shareholders list? - **Spectra Ventures is a predecessor company of Tiger International Resources. You have received the Certificate Master files for both companies.**
- Can you confirm that the Certificate Master File is only for Physical Certificates? - **Yes. No DRS was ever issued.**
- And confirm that the balance between the Certificate Master File and the Shareholders List would be Electronic Shares? **Yes. It balances. My previous email states that Tiger International was off by 8 shares.**
- If so, do you have the detailed list of Electronic shares only with a breakdown of each electronic issuance like the breakdown currently in the Certificate Master File? - **No. The Master Certificate file is only in hard copy.**

Thank you.

Regards,

John Martin

Sincerely,

Jeremi Gallant
Board Member & General Manager



Excelsior Solutions Corporation
 600 Annette St., Lower Level, Toronto, Ontario M6S 2C4, Canada
jeremi.gallant@excelsiorsolutions.biz

Propelling Business Forward

Our Subsidiaries:



CONFIDENTIALITY NOTICE

The contents of this e-mail are confidential to the ordinary user of the e-mail address to which it was addressed and is privileged. If you are not the addressee of this e-mail you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. If you have received this e-mail in error please advise the sender by e-mail by replying to this message.

AVIS DE CONFIDENTIALITÉ

Le contenu de ce courriel est confidentiel et privilégié au destinataire régulier de cette adresse électronique. Si vous n'êtes pas le destinataire de ce courriel, il vous est interdit de le copier, faire suivre, divulguer ou autrement l'utiliser en tout ou en partie sous toute forme que se soit. Si vous avez reçu ce courriel par erreur, veuillez en aviser l'expéditeur en répondant au présent message.

Attachments:

- [Spectra Ventures -stop for Mary Cavanaugh & Richard jtwros.pdf \(623.24 KB\)](#)
- [TCTI - final letter to Integral Transfer Agency.pdf \(149.90 KB\)](#)

J

John Martin

<transcanadatransfer@yahoo.ca>

Oct 17, 2025, 04:06 PM

CC: jim.adams@excelsiorsolutions.biz, bmorales@bjmorales.com

Hi Jim,

Please see my answer in "**Red**" to your questions below.

On Thursday, October 16, 2025 at 04:43:57 p.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi John,

Just a quick amendment to my last question regarding the Certificate Master File and an additional question:

- I see all the shares are listed in the Certificate Master File, I had missed that there was a second column to the right. Can you however advise as to how we can differentiate between physical certificates and book entry from this file? - **The second column is "Restricted" shareholders. There you will find Mary & Richard Cavanaugh.**

- Can you provide the date of issuance for all of the Spectra Venture Shares that have been added into the Tiger International list? And were these all marked as book entry, or are there any physical certificates? -
The Certificate Master file has all the pertinent information such as the issue date etc.

Thanks,

John Martin

Sincerely,

Jeremi Gallant
Board Member & General Manager



Excelsior Solutions Corporation
600 Annette St., Lower Level, Toronto, Ontario M6S 2C4, Canada
jeremi.gallant@excelsiorsolutions.biz

Propelling Business Forward

Our Subsidiaries:



CONFIDENTIALITY NOTICE

The contents of this e-mail are confidential to the ordinary user of the e-mail address to which it was addressed and is privileged. If you are not the addressee of this e-mail you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. If you have received this e-mail in error please advise the sender by e-mail by replying to this message.

AVIS DE CONFIDENTIALITÉ

Le contenu de ce courriel est confidentiel et privilégié au destinataire régulier de cette adresse électronique. Si vous n'êtes pas le destinataire de ce courriel, il vous est interdit de le copier, faire suivre, divulguer ou autrement l'utiliser en tout ou en partie sous toute forme que se soit. Si vous avez reçu ce courriel par erreur, veuillez en aviser l'expéditeur en répondant au présent message.

J

Jeremi Gallant

<support@excelsiorsolutions.biz>

Oct 17, 2025, 04:21 PM

To: transcanadatransfer@yahoo.ca

CC: jim.adams@excelsiorsolutions.biz, bmmorales@bjmorales.com

Hi John,

Please see below questions::

- As you have stated that all the outstanding shares listed in the Certificate Master Files are physical certificates and none are book entry, can you confirm that all physical certificates for Spectra Ventures were returned and new physical certificates from Tiger International were issued to these shareholders after the split?
- Another question on this, there are shares in CDS, you are saying that the CDS shares are also physical certificates?
- As mentioned in your letter, the shares from Spectra were split in 3 rounded up, what was the effective date of this transaction and the issuance date of these new Tiger shares? The Certificate Master File for Spectra provided contains share numbers and dates from before the split occurred and the Certificate Master File for Tiger contains none of these post split shares. We would need a Certificate Master File that contains the post-split details.

Sincerely,

Jeremi Gallant

Board Member & General Manager



Excelsior Solutions Corporation

600 Annette St., Lower Level, Toronto, Ontario M6S 2C4, Canada

jeremi.gallant@excelsiorsolutions.biz

Propelling Business Forward

Our Subsidiaries:

CONFIDENTIALITY NOTICE

The contents of this e-mail are confidential to the ordinary user of the e-mail address to which it was addressed and is privileged. If you are not the addressee of this e-mail you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. If you have received this e-mail in error please advise the sender by e-mail by replying to this message.

AVIS DE CONFIDENTIALITÉ

Le contenu de ce courriel est confidentiel et privilégié au destinataire régulier de cette adresse électronique. Si vous n'êtes pas le destinataire de ce courriel, il vous est interdit de le copier, faire suivre, divulguer ou autrement l'utiliser en tout ou en partie sous toute forme que se soit. Si vous avez reçu ce courriel par erreur, veuillez en aviser l'expéditeur en répondant au présent message.

J

Jeremi Gallant

<support@excelsiorsolutions.biz>

Oct 17, 2025, 04:23 PM

To: bmorales@bjmorales.com

CC: jim.adams@excelsiorsolutions.biz

Hi Brian,

I hope all is well!

I was hoping you could give me some clarity on the Spectra transaction, can you explain to me what happened here? Was this some sort of acquisition done by Tiger? If so, do you have copies of the agreements that would show how the share transfers were being handled (i.e. shares exchanged are divided by 3 and issued in Tiger, effective dates, etc.).

Sincerely,

Jeremi Gallant

Board Member & General Manager



Excelsior Solutions Corporation

600 Annette St., Lower Level, Toronto, Ontario M6S 2C4, Canada

jeremi.gallant@excelsiorsolutions.biz

Propelling Business Forward

Our Subsidiaries:



CONFIDENTIALITY NOTICE

The contents of this e-mail are confidential to the ordinary user of the e-mail address to which it was addressed and is privileged. If you are not the addressee of this e-mail you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. If you have received this e-mail in error please advise the sender by e-mail by replying to this message.

AVIS DE CONFIDENTIALITÉ

Le contenu de ce courriel est confidentiel et privilégié au destinataire régulier de cette adresse électronique. Si vous n'êtes pas le destinataire de ce courriel, il vous est interdit de le copier, faire suivre, divulguer ou autrement l'utiliser en tout ou en partie sous toute forme que se soit. Si vous avez reçu ce courriel par erreur, veuillez en aviser l'expéditeur en répondant au présent message.

J

John Martin

<transcanadatransfer@yahoo.ca>

Oct 17, 2025, 05:45 PM

CC: jim.adams@excelsiorsolutions.biz, bmorales@bjmorales.com

Dear Jeremi:

Please see my answer in "**Red**" to your questions below.

On Friday, October 17, 2025 at 10:21:29 a.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi John,

Please see below questions::

- As you have stated that all the outstanding shares listed in the Certificate Master Files are physical certificates and none are book entry: - **Yes. All are physical, except CDS, which is NCI - NO CERTIFICATE ISSUED.**
- Can you confirm that all physical certificates for Spectra Ventures were returned - **No. These certificates are still outstanding. They were never converted.**

- and new physical certificates from Tiger International were issued to these shareholders after the split? - **No. The Spectra Ventures certificates has never been returned. They are still outstanding.**
- Another question on this, there are shares in CDS, you are saying that the CDS shares are also physical certificates? - **No. Only the 2 NCI as stated on the list.**
- As mentioned in your letter, the shares from Spectra were split in 3 rounded up, what was the effective date of this transaction and the issuance date of these new Tiger shares? - **The effective date Sept 2008**
- The Certificate Master File for Spectra provided contains share numbers and dates from before the split occurred and the Certificate Master File for Tiger contains none of these post split shares. We would need a Certificate Master File that contains the post-split details: - **The Spectra certificates are still outstanding by Pacific Corporate Trust and has not been converted for splits.**

Thank you.

Regards,

John Martin

Sincerely,

Jeremi Gallant
Board Member & General Manager



Excelsior Solutions Corporation
600 Annette St., Lower Level, Toronto, Ontario M6S 2C4, Canada
jeremi.gallant@excelsiorsolutions.biz

Propelling Business Forward

Our Subsidiaries:



CONFIDENTIALITY NOTICE

The contents of this e-mail are confidential to the ordinary user of the e-mail address to which it was addressed and is privileged. If you are not the addressee of this e-mail you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. If you have received this e-mail in error please advise the sender by e-mail by replying to this message.

AVIS DE CONFIDENTIALITÉ

Le contenu de ce courriel est confidentiel et privilégié au destinataire régulier de cette adresse électronique. Si vous n'êtes pas le destinataire de ce courriel, il vous est interdit de le copier, faire suivre, divulguer ou autrement l'utiliser en tout ou en partie sous toute forme que se soit. Si vous avez reçu ce courriel par erreur, veuillez en aviser l'expéditeur en répondant au présent message.

J

Jeremi Gallant

<support@excelsiorsolutions.biz>

Oct 17, 2025, 06:06 PM

To: transcanadatransfer@yahoo.ca

CC: jim.adams@excelsiorsolutions.biz, bmmorales@bjmorales.com

Hi John,

Thank you for the clarification!

Last item that I can see:

- Shareholder Clay S Nickerson has the shares marked as U-CNI, was this supposed to be U-NCI for No Certificate Issued? Or does CNI stand for something else?

Sincerely,

Jeremi Gallant

Board Member & General Manager



Excelsior Solutions Corporation

600 Annette St., Lower Level, Toronto, Ontario M6S 2C4, Canada

jeremi.gallant@excelsiorsolutions.biz

Propelling Business Forward

Our Subsidiaries:



CONFIDENTIALITY NOTICE

The contents of this e-mail are confidential to the ordinary user of the e-mail address to which it was addressed and is privileged. If you are not the addressee of this e-mail you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. If you have received this e-mail in error please advise the sender by e-mail by replying to this message.

AVIS DE CONFIDENTIALITÉ

Le contenu de ce courriel est confidentiel et privilégié au destinataire régulier de cette adresse électronique. Si vous n'êtes pas le destinataire de ce courriel, il vous est interdit de le copier, faire suivre, divulguer ou autrement l'utiliser en tout ou en partie sous toute forme que se soit. Si vous avez reçu ce courriel par erreur, veuillez en aviser l'expéditeur en répondant au présent message.

J

John Martin

<transcanadatransfer@yahoo.ca>

Oct 17, 2025, 06:21 PM

CC: jim.adams@excelsiorsolutions.biz, bmorales@bjmorales.com

Hi Jeremy,

Please see my answer in "**Red**" to your questions below.

On Friday, October 17, 2025 at 12:06:42 p.m. EDT, support@excelsiorsolutions.biz <support@excelsiorsolutions.biz> wrote:

Hi John,

Thank you for the clarification!

Last item that I can see:

- Shareholder Clay S Nickerson has the shares marked as U-CNI, was this supposed to be U-NCI for No Certificate Issued? - **It mean Certificate not issued - this was from the previous agent.**
- Or does CNI stand for something else? - **No.**

Thanks,

John

Sincerely,

Jeremi Gallant

Board Member & General Manager



Excelsior Solutions Corporation

600 Annette St., Lower Level, Toronto, Ontario M6S 2C4, Canada

jeremi.gallant@excelsiorsolutions.biz

Propelling Business Forward

Our Subsidiaries:



CONFIDENTIALITY NOTICE

The contents of this e-mail are confidential to the ordinary user of the e-mail address to which it was addressed and is privileged. If you are not the addressee of this e-mail you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. If you have received this e-mail in error please advise the sender by e-mail by replying to this message.

AVIS DE CONFIDENTIALITÉ

Le contenu de ce courriel est confidentiel et privilégié au destinataire régulier de cette adresse électronique. Si vous n'êtes pas le destinataire de ce courriel, il vous est interdit de le copier, faire suivre, divulguer ou autrement l'utiliser en tout ou en partie sous toute forme que se soit. Si vous avez reçu ce courriel par erreur, veuillez en aviser l'expéditeur en répondant au présent message.

